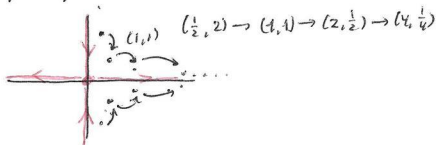


# 5 Hyperbolic Dynamics

$M$  a  $d$ -dim Riem. mfd.  
 $f \in \text{Diff}^2(M)$ .

Example:  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  Eucl. metric  
 $f(x, y) = (2x, y/2)$



Only  $(0, 0)$  has a bounded forward and backward orbit.  
*stable mfd of  $(0, 0)$*

$$\forall \xi \in [0, \infty) \times \mathbb{R} : f^n(\xi) \rightarrow (0, 0) \quad n \rightarrow +\infty \quad W^s(0, 0)$$

$$\forall \xi \in \mathbb{R} \times [0, \infty) : f^n(\xi) \rightarrow (0, 0) \quad n \rightarrow -\infty \quad W^u(0, 0)$$

*unstable mfd of  $(0, 0)$*

More generally:

let  $p \in M$  be any point.

$$W^s(p) = \{ \xi \in M : d(f^n(\xi), f^n(p)) \xrightarrow{n \rightarrow +\infty} 0 \}$$

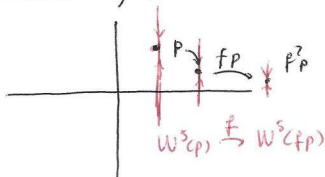
is the stable manifold of  $p$ .

$$W^u(p) = \{ \xi \in M : d(f^n(\xi), f^n(p)) \xrightarrow{n \rightarrow -\infty} 0 \}$$

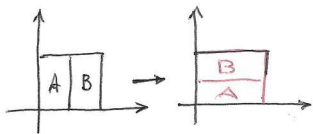
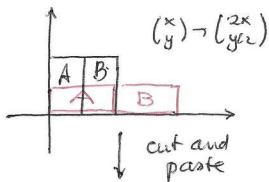
the unstable manifold of  $p$ .

$$W_{\epsilon}^s(p) = \{ \xi \in M : d(f^n(\xi), f^n(p)) \leq \epsilon \quad \forall n \geq 0 \}$$

$\epsilon$ -local stable manifold of  $p$ .  
 similarly for  $W_{\epsilon}^u(p)$



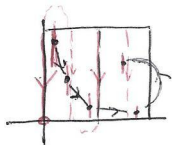
Ex: more interesting dynamics



$$f: [0, 1) \times [0, 1) \rightarrow \mathbb{R}^2$$

$$f(x, y) = \begin{cases} (2x, y/2) & 0 \leq x < 1/2 \\ (2x-1, \frac{y}{2} + \frac{1}{2}) & 1/2 \leq x < 1 \end{cases}$$

but discontinuity



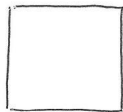
$$E \quad (\mathbb{T}^2) \rightarrow (\Sigma_2, \sigma)$$

not good  
 but not surjective  
 no orbits in  $\Sigma_2 \setminus \{A, B\}$  that ends or begins with  $\bar{B} = \dots B B B B \dots$

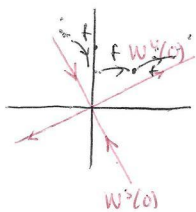
$$E \quad (\Sigma_2, \sigma) \rightarrow (\mathbb{T}^2, f)$$

not injective  
 not surjective  
 not injective  
 but cont.

Ex preserving continuity  $\text{Diff}^1(\mathbb{T}^2)$



$$f \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \pmod{1}$$



$$1 + \gamma = \delta^2$$

$$\gamma = \frac{1 + \sqrt{5}}{2} = 1, 618... > 1$$

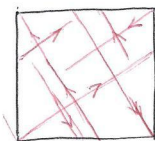
eigenvalues of  $M = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$

$$\lambda_1 = \gamma \text{ and } -1/\gamma = \lambda_2$$

$$v_1 = \begin{pmatrix} \gamma \\ 1 \end{pmatrix} \quad v_2 = \begin{pmatrix} -1 \\ \gamma \end{pmatrix}$$

$f: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$  so acts on the quotient as a cont map ( $\text{Diff}^0$ )

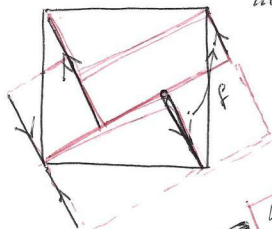
$$f: \mathbb{R}^2/\mathbb{Z}^2 = \mathbb{T}^2 \rightarrow \mathbb{T}^2$$



$\gamma$  irrational

$\Rightarrow$   
 $W^s(0)$  and  $W^u(0)$   
are dense in  $\mathbb{T}^2$

~~Proof~~ Creating a Markov partition: Use  $W^{s/u}(0)$  to cut  $\mathbb{T}^2$  into pieces

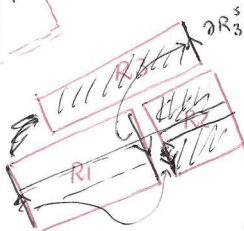


$$f W^s(0) = W^s(0)$$

$$f^{-1} W^u(0) = W^u(0)$$

$$(\Sigma_A, \sigma) \xrightarrow{\pi} (\mathbb{T}^2, f)$$

injective except  
on  $\pi^{-1} W^s(0) \cup \pi^{-1} W^u(0)$



$$R_1 \rightarrow R_2 \cup R_3$$

$$R_2 \rightarrow R_1$$

$$R_3 \rightarrow R_1 \cup R_2$$

$$P = \begin{pmatrix} 1 & 2 & 3 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 3 & 1 & 0 \end{pmatrix} =: A$$

$$f: (\cup R_i^s) \rightarrow \cup \partial R_i^s$$

$$f^{-1}: (\cup \partial R_i^u) \rightarrow \cup \partial R_i^u$$

## Hyperbolic maps between rectangles

$E$  Banach space which may be written as a direct product

$$E = E^u \times E^s, \quad |(x, y)| = |x|_{E^u} + |y|_{E^s}$$

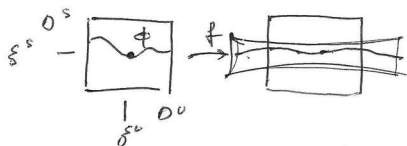
equiv to  $\| \cdot \|_E$

A product-ball

$$B(\xi, R) = B_{E^u}(\xi^u, R) \times B_{E^s}(\xi^s, R) = D_{\frac{R}{2}}^u \times D_{\frac{R}{2}}^s$$

is called a rectangle.

Centered at  $\xi = (\xi^u, \xi^s)$ .



Prop 3: Let  $f \in \mathcal{F}(E^u, E^s)$ ,  $L \leq 1$   
 $\forall \phi_0 \in K_L^u(E^u)$

$f(\mathcal{G}(\phi_0)) \cap B(E^u, R)$   
 is the graph of an element

$$\phi_1 = \Gamma_{f, \phi_0} \in K_L^u(E^u)$$

The map

$$\phi_0 \mapsto \Gamma(\phi_0)$$

is a Lipschitz contraction.

Def 1 Fix  $0 < \theta < 1$ ,  $0 < \alpha < \frac{1-\theta}{4}$ ,  $R > 0$ .  
 $\mathcal{F}: \mathcal{A}(C^2)$  maps  $f: B_R(E^u) \rightarrow E$  is:

Such that for  $\xi = \xi_0 + (x, y)$ ,  $\xi_1 = f(\xi)$

$$f(\xi) = \xi_1 + \begin{pmatrix} \Lambda_1 x \\ \Lambda_2 y \end{pmatrix} + \begin{pmatrix} \sigma f_1(x, y) \\ \sigma f_2(x, y) \end{pmatrix}$$

with  $\Lambda_1 \in GL(E^u)$   $\|\Lambda_1^{-1}\| \leq \theta$

$\Lambda_2 \in L(E^s)$   $\|\Lambda_2\| \leq \theta$

$$\sup_{\xi \in B_R(E^u)} \|\sigma f_1\| \leq \alpha, \quad \sigma f_1(\xi_0) = 0$$

$B_R(E^u)$

$\mathcal{F}(E^u, E^s)$  collection of such maps.

Def 2 For  $L \leq 1$ ,  $\xi_0 \in E$ ,  
 $B(\xi_0, R) = D^u \times D^s$  we define

$$K_L^u(\xi_0) = \left\{ \phi: D^u \rightarrow D^s, \text{Lip}(\phi) \leq L, \right. \\ \left. \phi(\xi_0^u) = \xi_0^s \right\}$$

we denote

$$\mathcal{G}(\phi) = \{(x, \phi(x)) : x \in D^u\}$$

the graph of  $\phi \in K_L^u(\xi_0)$