# Curvature pinching and Hölder homeomorphisms from Euclidean spaces to Heisenberg groups

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Isoperimetric inequality

### Question

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If G is a r-step Carnot group, the exponential map  $\mathfrak{g} = Lie(G) \rightarrow G$  is locally  $C^{1/r}$ -Hölder continuous. Thus  $\alpha(M) \ge 1/r$ .

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### Proposition

Let M have Hausdorff dimension Q. Then  $\alpha(M) \leq \frac{n}{Q}$ .

Isoperimetric inequality Horizontal submanifolds

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$$\operatorname{vol}(D)^{Q-1/Q} \leq \operatorname{const.} \mathcal{H}^{Q-1}(\partial D).$$

It follows that the boundary of any non smooth domain  $\Omega$  has Hausdorff dimension at least Q-1. Indeed, cover  $\partial\Omega$  with balls  $B_j$  and apply (\*) to  $\Omega \cup \bigcup B_j$ . This gives a lower bound on  $\mathcal{H}^{Q-1}(\partial(\bigcup B_j)) \leq \sum \mathcal{H}^{Q-1}(\partial B_j) \leq const. \sum diameter(B_j)^{Q-1}$ .



(Gromov 1993). Let  $\mathbb{H}^m$  denote 2m + 1-dimensional Heisenberg group. Let  $V \subset \mathbb{H}^m$  be a subset of topological dimension m + 1. Then the Hausdorff dimension of V is at least m + 2. It follows that  $\alpha(\mathbb{H}^m) \leq \frac{m+1}{m+2}$ .

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**Proof**. According to topological dimension theory (Alexandrov), there exists an *m*-dimensional polyhedron *P* and a continuous map  $f : P \to \mathbb{H}^m$  such that every map sufficiently  $C^0$ -close to *f* hits *V*.

Gromov approximates f with piecewise *horizontal* maps which sweep an open set U. This gives rise to a local projection  $p: U \to \mathbb{R}^{m+1}$  such that for every ball B, the tube  $p^{-1}(p(B))$  has volume  $\leq \text{const. diameter}(B)^{m+2}$ .

Cover V with balls  $B_j$ . The corresponding tubes  $T_j = p^{-1}(p(B_j))$  cover U. Then the volume of U is less than  $\sum \text{diameter}(B_j)^{m+2}$ , which shows that  $\dim_{H_{au}}(V) \ge m+2$ .

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#### Theorem

(Gromov 1993). Let M be a generic subRiemannian manifold of dimension n. Hausdorff dimension Q, with an h-dimensional distribution. Let k < h be such that  $h-k \ge (n-h)k$ . Then  $\alpha(M) \le \frac{n-k}{Q-k}$ .

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### Curvature pinching

### Definition

Let M be a Riemannian manifold. Let  $-1 \le \delta < 0$ . Say M is  $\delta$ -pinched if sectional curvature ranges between -1 and  $\delta$ . Define the optimal pinching  $\delta(M)$  of M as the least  $\delta \ge -1$  such that M is biLipschitz to a  $\delta$ -pinched simply connected Riemannian manifold.

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### Example

Rank one symmetric spaces of noncompact type are hyperbolic spaces over the reals  $H^m_{\mathbb{R}}$ , the complex numbers  $H^m_{\mathbb{C}}$ , the quaternions  $H^m_{\mathbb{H}}$ , and the octonions  $H^2_{\mathbb{O}}$ . Real hyperbolic space has sectional curvature -1. Other rank one symmetric spaces are  $-\frac{1}{4}$ -pinched.

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### Question

Is it true that the optimal pinching of  $H^m_{\mathbb{C}}$ ,  $H^m_{\mathbb{H}}$  (m  $\geq 2$ ) and  $H^2_{\mathbb{O}}$  is  $-\frac{1}{4}$ ?

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### Facts.

- The visual boundary, seen from a point *o*, is a sphere (use polar coordinates).
- It carries a visual metric d<sub>o</sub>.
- Different visual metrics  $d_o$  and  $d_{o'}$  are equivalent.
- BiLipschitz maps between negatively curved Riemannian manifolds induce *quasisymmetric* maps between ideal boundaries.



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Let M be a simply connected  $\delta$ -pinched Riemannian manifold. Then visual metrics on the ideal boundary of M are  $C^{\alpha}$ -Hölder equivalent to the round metric, with  $\alpha = \sqrt{-\delta}$ .

Indeed, geodesics from a unit ball to a point come together exponentially fast, with exponents ranging from  $\sqrt{-\delta}$  to 1 (Rauch comparison theorem, 1950's).

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Indeed, geodesics from a unit ball to a point come together exponentially fast, with exponents ranging from  $\sqrt{-\delta}$  to 1 (Rauch comparison theorem, 1950's).

### Question

Let M be a nonRiemannian subRiemannian manifold. Does there exist quasisymmetricly equivalent metrics on M which are locally C<sup> $\alpha$ </sup>-Hölder equivalent to a Riemannian metric, with  $\alpha > 1/2$ ?

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Main theorem

### Theorem

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### Scheme of proof

- Define, in a biLipschitz-invariant manner, families  $\mathcal{R}_p$ , p > 1, of algebras of functions on 4-dimensional negatively curved manifolds M, and, given  $u \in \mathcal{R}_p$ , a vectorsubspace  $\mathcal{S}_p(u) \subset \mathcal{R}_p$ .
- If M is  $\delta$ -pinched and  $p < 2 + 4\sqrt{-\delta}$ , then for every u,  $S_p(u)$  is a subalgebra of  $\mathcal{R}_p$ .
- If  $M = H^2_{\mathbb{C}}$ , for all  $p \in (4, 8)$ , there exists (locally)  $u \in \mathcal{R}_p$  such that  $S_p(u)$  is not a subalgebra of  $\mathcal{R}_p$ .

 $\mathcal{R}_p$  can be viewed as a quasisymmetrically invariant function space on the visual boundary of M. However,  $\mathcal{S}_p(u)$  does not seem to be definable directly in terms of the visual boundary only.

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Royden algebra Boundary values for differential forms

### L<sup>p</sup>-cohomology

### Definition

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 $H^{k,p}$ = closed k-forms in  $L^p/d((k-1)-forms in L^p)$ ,

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 $T^{1,p}$  is non zero and thus infinite dimensional. Indeed, the 1-form  $\frac{dt}{t}$  (cut off near the origin) is in  $L^p$  for all p > 1 but it is not the differential of a function in  $L^p$ .

#### Examples

Royden algebra Subalgebra theorem Boundary values for differential forms  $L^p$ -cohomology of  $H^2_{\Gamma}$ 

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$$\begin{split} H^{1,2} &= \{ \text{harmonic functions } h \text{ on } D \text{ with } \nabla h \in L^2 \} / \mathbb{R} \\ &= \{ \text{Fourier series } \Sigma a_n e^{in\theta} \text{ with } a_0 = 0, \Sigma |n| |a_n|^2 < +\infty \}, \end{split}$$

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which is Sobolev space  $H^{1/2}(\mathbb{R}/2\pi\mathbb{Z})$  mod constants.

More generally, for p > 1,  $T^{1,p} = 0$  and  $H^{1,p}$  is equal to the Besov space  $B_{p,p}^{1/p}(\mathbb{R}/2\pi\mathbb{Z})$  mod constants.

### Proposition

Let M be a simply connected negatively curved Riemannian manifold. Functions u on M whose differential belongs to  $L^p$  have boundary values  $u_{\infty}$  on the visual boundary. The cohomology class  $[du] \in H^{1,p}(M)$  vanishes if and only if  $u_{\infty}$  is constant.

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Indeed, since volume in polar coordinates grows exponentially, and  $L^p(e^t dt) \subset L^1(dt)$ , the radial derivative belongs to  $L^1$ , so  $u_{\infty}(\theta) = \lim_{t \to \infty} u(\theta, t)$  exists a.e. If  $u_{\infty} = 0$ , Sobolev inequality  $||u||_{L^p} \leq ||du||_{L^p}$  applies, and [du] = 0.

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This suggests

### Definition

(Bourdon-Pajot 2004). For a negatively curved manifold M, define the Royden algebra  $\mathcal{R}_p(M)$  as the space of  $L^{\infty}$  functions u on M such that  $du \in L^p$ , modulo  $L^p \cap L^{\infty}$  functions.

Then  $\mathcal{R}_p(M)$  identifies with an algebra of functions on the visual boundary of M. If M is a symmetric space,  $\mathcal{R}_p(M)$  is a (possibly anisotropic) Besov space.

Royden algebra Subalgebra theorem Boundary values for differential forms

### Remark

L<sup>p</sup>-cohomology is biLipschitz invariant. Wedge product  $\alpha$ ,  $\beta \mapsto \alpha \land \beta$  induces cup-product  $[\alpha] \smile [\beta] : H^{k,p} \times H^{k',p} \to H^{k+k',p/2}$  in a biLipschitz invariant manner.

### Definition

Let M be a simply connected negatively curved manifold, let p > 2, let  $u \in \mathcal{R}_p(M)$ . Define

$$S_p(u) = \{ v \in \mathcal{R}_p(M) | [dv] \smile [du] = 0 \in H^{2, p/2}(M) \}.$$

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Examples Royden algebra Subalgebra theorem

### Remark

L<sup>p</sup>-cohomology is biLipschitz invariant. Wedge product  $\alpha$ ,  $\beta \mapsto \alpha \land \beta$  induces cup-product  $[\alpha] \sim [\beta] : H^{k,p} \times H^{k',p} \to H^{k+k',p/2}$  in a biLipschitz invariant manner.

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**Remark**: As a function space on the visual boundary,  $\mathcal{R}_{p}$  is a quasisymmetric invariant. Not so clear for  $S_p(u)$ .

#### Theorem

If dim(M) = 4, M is  $\delta$ -pinched and  $p < 2 + 4\sqrt{-\delta}$ , then for all  $u \in \mathcal{R}_p(M)$ ,  $\mathcal{S}_p(u)$  is a subalgebra of  $\mathcal{R}_p(M)$ .

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Examples Royden algebra Subalgebra Boundary values for differential forms  $L^P$ -cohomology of  $H^2_{\mathbb{C}}$ 

Step 1. For q = p/2 small, closed  $L^q$  2-forms admit boundary values.

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Step 1. For q = p/2 small, closed  $L^q$ 2-forms admit boundary values. Use the radial vectorfield  $\xi = \frac{\partial}{\partial r}$  in polar coordinates and its flow  $\phi_t$ , whose derivative is controlled by sectional curvature.

Use Poincaré's homotopy formula : For  $\alpha$  a closed 2-form in  $L^q$ ,

$$\phi_t^* \alpha = \alpha + d \left( \int_0^t \phi_s^* \iota_\xi \alpha \, ds \right)$$

has a limit as  $t \to +\infty$  under the assumptions of the theorem.



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Step 2. Boundary value determines cohomology class.

Step 3. This implies  $S_p(u)$  is a subalgebra. Let  $v, v' \in S_p(u)$ . Then  $[dv] \smile [du]$  vanishes if and only if its boundary value  $dv_{\infty} \wedge du_{\infty} = 0$  a.e. Then  $v'_{\infty} dv_{\infty} \wedge du_{\infty} + v_{\infty} dv'_{\infty} \wedge du_{\infty} = 0$  a.e., showing that  $[d(vv')] \smile [du] = 0$ , i.e.  $vv' \in S_p(u)$ .

 $L^p$ -cohomology of  $H^2_{C}$ 

Now we compute  $H^{2,q}(H^2_{\mathbb{C}})$  for 2 < q = p/2 < 4.

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For  $q \notin \{4/3, 2, 4\}$ , differential forms  $\alpha$ on  $H^2_{\mathbb{C}}$  split into components  $\alpha_+$  and  $\alpha_+$  which are contracted (resp. expanded) by  $\phi_t$ . Then

$$h_t: \alpha \mapsto \int_0^t \phi_s^* \iota_{\xi} \alpha_+ \, ds - \int_{-t}^0 \phi_s^* \iota_{\xi} \alpha_- \, ds$$

converges as  $t \to +\infty$  to a bounded operator h on  $L^q$ . P = 1 - dh - hdretracts the  $L^q$  de Rham complex onto a complex  $\mathcal{B}$  of differential forms on  $\mathbb{H}^1$ with missing components and weakly regular coefficients.



Step 2. If 2 < q < 4, this complex is nonzero in degrees 1 and 2.  $\mathcal{B}^1$  consists of 1-forms which are multiples of  $\tau$ .

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Step 3. If 2 < q < 4, vanishing of degree 2 cohomology classes is characterized by a differential equation.

Let  $\tau$  denote the invariant contact form on  $\mathbb{H}^1$ . If  $\alpha \in \mathcal{B}^2$  is a 2-form, then  $\alpha \in d\mathcal{B}^1$  if and only if  $\alpha$  satisfies the linear differential equation

$$\alpha = d(\frac{\tau \wedge \alpha}{\tau \wedge d\tau}\tau).$$

If  $dv \wedge du$  is a solution,  $d(v^2) \wedge du$  is not a solution, unless dv is proportional to du.

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### Failure of the subalgebra theorem for $H^2_{\mathbb{C}}$ .

In coordinates (x, y, z) on  $\mathbb{H}^1$ , one can take (locally) u = y and v = x. Then  $dv \wedge du$  belongs to  $d\mathcal{B}^1$ , whereas  $d(v^2) \wedge du$  does not. So for  $4 , <math>\mathcal{S}_p(u)$  is not (locally) a subalgebra of  $\mathcal{R}_p(H^2_{\mathbb{C}})$ .