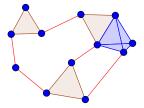
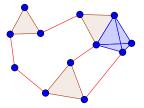
Computing homology robustly: The geometry of normed chain complexes

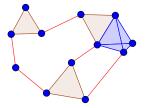
Pierre Pansu, Université Paris-Saclay

October 11th, 2023



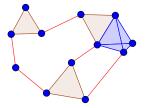


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In general, a normed chain complex is a normed vector space B equipped with a linear map $d: B \to B$ such that $d \circ d = 0$.

When $F: B_1 \to B_2$ is a linear bijection, the robustness of the resolution of the equation

$$Fx = y$$

is governed by the conditioning number

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For normed chain complexes, we first turn d into a bijection $\bar{d}:B/\mathrm{Ker}(d)\to\mathrm{Im}(d),$ and set

$$\kappa(B):=|\bar{d}||\bar{d}^{-1}|.$$

Example. The *n*-stick satisfies $H^1 = 0$. The 1-cochain *g* equal to $\overline{1}$ on the central edge and 0 elsewhere can be written *df* where

 $\|g\|_p = 1, \quad \|f\|_p \sim n^{1/p}.$



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When n is large, solving df = g is unstable. The computation of cohomology is ill-conditioned.

Definition

The conditionning number of a graph X is $\kappa(X, p, \mathbf{k}) = |\vec{d}||\vec{d}^{-1}|$ where $\vec{d} : C^0(X, \mathbf{k}) / \text{Ker}(d) \to dC^0(X, \mathbf{k})$. (It depends on p and on the field \mathbf{k}).

 $\label{eq:soperimetry} \mbox{ Isoperimetry} = \mbox{the art of cutting space} \\ \mbox{ apart.}$

|A| = 5, $|\partial A| = 15$.



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Definition

Cheeger's constant h(X) of a graph X is the largest h such that for every set A of vertices such that $|A| \le \frac{1}{2}|X|$,

 $|\partial A| \ge h |A|.$

Here, ∂A is the set of edges connecting A to its complement.

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Cheeger's constant h(X) of a graph X is the largest h such that for every set A of vertices such that $|A| \le \frac{1}{2}|X|$,

 $|\partial A| > h |A|.$

Here, ∂A is the set of edges connecting A to its complement.

Proposition

$$h(X) = rac{2}{\kappa(X, 1, \mathbb{F}_2)} = 2(\|ar{d}\|_{1 o 1} \|ar{d}^{-1}\|_{1 o 1})^{-1} \; over \; \mathbb{F}_2.$$





Let Δ be the self-adjoint operator corresponding to the quadratic form $f \mapsto \|df\|_2^2 = \langle f, \Delta f \rangle$. Let $\lambda_1 \leq \lambda_2 \leq \cdots$ denote its eigenvalues. If the graph X is connected, then $\lambda_1 = 0$ and

$$\lambda_2 = (\|\bar{d}^{-1}\|_{2\to 2})^{-2}.$$

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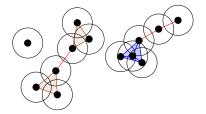
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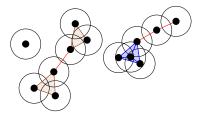
It governs the speed at which a random walk on the graph is mixing. In particular, the possibility of picking a vertex at random.

Morality. Normed chain complexes contain interesting information, beyond their mere homology.

Given a metric space X, a finite subset $Y \subset X$ and r > 0, the Čech simplicial complex Y_r has a simplex (y_0, \ldots, y_k) each time $\bigcap_i B(y_i, r) \neq \emptyset$. Let C' denote the simplicial chains of Y_r .



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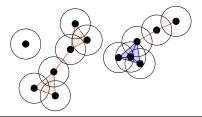
Theorem (Bobrowski-Weinberger 2017)

Fix $r < \frac{1}{2}$ and $1 \le k \le d$. Let Y be an n-sample picked at random on the standard d-torus. Then, with high probability, the k-homology of Y_r coincides with the homology of the torus as soon as

$$\omega_d r^d n \gg \log n + k \log \log n,$$

and this fails if $\omega_d r^d n \ll \log n + (k-2) \log \log n$. If k = 0, the threshold is $2^{-d} \log n$.

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Question. Can one say that the chain complexes C_{i}^{r} converge to some chain complex attached to the torus?

In order to define a distance between normed chain complexes, the first idea is to measure conditioning numbers of isomorphisms.

Definition

Let $B_1 \stackrel{d_1}{\to} B_1$ and $B_2 \stackrel{d_2}{\to} B_2$ be normed chain complexes. The Banach-Mazur distance $BMDist(B_1, B_2)$ is the infimum of $log(|F||F^{-1}|)$ over all isomorphisms $F : B_1 \to B_2$ duch that $Fd_1 = d_2F$.

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This is too restrictive: this implies $\dim(B_1) = \dim(B_2)$.

The second idea is too measure the size of homotopies.

Definition

Let $B_1 \xrightarrow{d_1} B_1$ and $B_2 \xrightarrow{d_2} B_2$ be normed chain complexes. Consider all bounded homotopies, i.e.

• bounded morphisms $F_1: B_1 \rightarrow B_2$ and $F_2: B_2 \rightarrow B_1$ such that

$$d_2F_1 = F_1d_1, \quad d_1F_2 = F_2d_2,$$

 \bullet bounded operators $Q_1:B_1\to B_1$ and $Q_2:B_2\to B_2$ such that

$$1 - F_2 F_1 = d_1 Q_1 + Q_1 d_1, \quad 1 - F_1 F_2 = d_2 Q_2 + Q_2 d_2.$$

Let $q = \max\{|Q_1|, |Q_2|\}$, $f = \max\{1, |F_1||F_2|\}$. The homotopy distance HomDist (B_1, B_2) is the infimum over all homotopies of min $\{\frac{q}{f} + \log f, \frac{f}{a} + \log q\}$. The second idea is too measure the size of homotopies.

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The weird expression guarantees a triangle inequality.

Let Null denote the set of null normed chain complexes (i.e. with d = 0). Denote by

 $ND(B) = HomDist(B, Null), NH(B) = |\overline{d}^{-1}|.$

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Definition

Let B be a normed chain complex. Let $\overline{B} = B/\text{Ker}(d)$ and $\overline{d} : \overline{B} \to \text{Im}(d)$. The singular values of B are the numbers

 $\sigma_{j} = \inf\{s \ge 0 \; ; \; \exists L \subset \overline{B} \text{ subvectorspace such that} \\ \dim(L) > j \text{ and } \forall \overline{x} \in L, \; |\overline{d}\overline{x}| \leq s|\overline{x}|\}.$

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Fact. Each σ_i is continuous in homotopy distance.

Say a normed chain complex B is **precompact** if it is not null and belongs to the closure of finite dimensional normed chain complexes.

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Example. The (de Rham) complex of smooth differential forms on a smooth compact Riemannian manifold, in its L^2 norm, is precompact.

Fact. A prehilbertian chain complex is precompact \iff its singular values form a finite sequence that tends to $+\infty.$

Proposition

Let B_i be precompact prehilbertian chain complexes. Then B_i converges to $B \iff$ for every j, $\sigma_j(B_i)$ tends to $\sigma_j(B)$.

Metric space	Normed chain complex
Gromov-Hausdorff distance	Homotopy distance
Point	?
Bounded	?
Precompact	?
Compactness criterion (Gromov)	?

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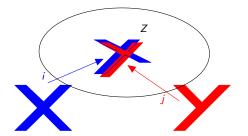
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Let X, Y be metric spaces.

 $GHDist(X, Y) = \inf\{HDist_Z(i(X), j(Y)); Z \text{ metric space}, \\ i: X \to Z, j: Y \to Z \text{ isometric embeddings}\}.$



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Bounded	Homotopic to a null complex
Precompact	?
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B is homotopic to a null complex \iff ND(*B*) < ∞ .

One can think of ND(B) = HomDist(B, Null) as an analogue of diameter.

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Bounded	Homotopic to a null complex
Precompact	In the closure of finite dim. complexes
Compactness criterion (Gromov)	?

B is precompact \implies *B* has a finite sequence of singular values that tends to $+\infty$ (\iff if *B* is prehilbertian).

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X precompact metric space, $\epsilon > 0$. The covering number $N(X, \epsilon)$ is the minimal number of ϵ -balls that can cover X.

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Definition

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Theorem (Gromov's compactness criterion)

A collection T of precompact metric spaces is precompact in Gromov-Hausdorff distance if and only if there is a function ν which serves as a covering number for all spaces in T, i.e.

 $\forall \epsilon > 0, \quad \forall X \in \mathcal{T}, \quad N(X, \epsilon) \leq \nu(\epsilon).$

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Definition

Let (B, d) be a normed chain complex that belongs to the closure of finite dimensional normed complexes. Its **profile** is the smallest function $\pi = (\pi_d, \pi_c) : (0, +\infty) \rightarrow (0, +\infty)^2$ with the following property. For every $\epsilon > 0$, there exists a finite-dimensional normed complex (B', d') such that

 $HomDist(B, B') < \epsilon, \quad \dim(B') \le \pi_d(\epsilon), \quad \kappa(B', d') \le \pi_c(\epsilon).$

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$$HomDist(B, B') < \epsilon, \quad \dim(B') \le \pi_d(\epsilon), \quad \kappa(B', d') \le \pi_c(\epsilon).$$

Theorem

A collection of nonnull normed chain complexes is precompact if and only if a same profile serves for all and the distances to null complexes are bounded below.

Lemma

Let B be a prehilbertian chain complex. Then the profile of B is determined by the asymptotics of eigenvalues,

$$\pi_d(\epsilon) \leq \operatorname{Card}\{\lambda \in \operatorname{spectrum}(d^*d) ; \ \lambda < rac{1}{\epsilon^2}\}, \quad \pi_c(\epsilon) \leq rac{1}{\epsilon\sqrt{\lambda_2}}$$

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Example

Let *M* be a smooth compact Riemannian manifold. Consider the (de Rham) complex of smooth differential forms on *M* in its L^2 norm. Its profile satisfies $\pi_d(\epsilon) \leq C \epsilon^{-N}$, where $N = \dim(M)$.

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Conjecture

Consider finer and finer triangulations of a fixed compact manifold. The corresponding complexes of simplicial cochains in their weighted ℓ^p norms form a precompact family.

Here, the weight of a simplex is a function of its volume.



The complete simplicial complex on 4 points.



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Let (X, μ) be a metric measure space. Same construction with the same weight w and $L^p(\mu^{\otimes \cdot})$ norms yields a normed chain complex $C^{\cdot}(X)$. **Example**. 1-cochains are functions c on $X \times X$. The squared weighted L^2 norm is

$$\int_{X \times X} w(|x - x'|) |c(x, x')|^2 \, d\mu(x) \, d\mu(x').$$



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Question. Given a metric measure space (X, μ) and a finite sample $Y \subset X$. Does $C^{\cdot}(Y)$ converge to $C^{\cdot}(X)$?