$\ell^{q,p}$ cohomology of certain Carnot groups

Pierre Pansu, Univ. Paris-Sud. Joint with A. Baldi, B. Franchi, M. Rumin

February 22nd, 2018

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 Euclidean Poincaré inequality
 Euclidean tray's acyclic covering theorem revisited

 Local Poincaré inequality
 Leray's acyclic covering theorem revisited
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Definition

X simplicial complex. Cochains are functions on simplices. When is an ℓ^p cocycle the coboundary of an ℓ^q cochain? Set

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\ell^{q,p}H^k(X) = \{\ell^p \ k\text{-}cocycles\}/d\{\ell^q \ k-1\text{-}cochains\}.
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X finite : topological invariant. X infinite : quasi-isometry invariant. Well defined for discrete groups, gives rise to numerical invariants of discrete groups...

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Example. X = subdivided line. Then all $\ell^{q,p}H^0(X) = 0$, $\ell^{\infty,1}H^1(X) = 0$, all other $\ell^{q,p}H^1(X) \neq 0$.

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Example. X = tesselated plane. Then $\ell^{q,p}H^1(X) = 0$ if $\frac{1}{p} - \frac{1}{q} \ge \frac{1}{2}$. Indeed, Sobolev inequality allows to handle the case of finitely supported cocycles. It states that, for a smooth compactly supported function u on the plane, if p < 2,

$$\|u\|_q \leq C \, \|du\|_p.$$

 ${\bf Questions}.$ Handle infinitely supported cocycles ? Pass from discrete to continuous and backward ?

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X Riemannian manifold. Set

$$L^{q,p}H^k(X) = \{L^p \text{ closed } k \text{-forms}\}/d\{L^q k - 1 \text{-forms } \omega \text{ such that } d\omega \in L^p\}.$$

Questions. Compute it. If X is triangulated, does $L^{q,p}H^k(X) = \ell^{q,p}H^k(X)$?

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Example.
$$X = \mathbb{R}^n$$
. Then $L^{q,p}H^k(X) = 0$ if $1 and $\frac{1}{p} - \frac{1}{q} = \frac{1}{n}$.$

Proof. Let $\Delta = d^*d + dd^*$. Then Δ has a pseudo-differential inverse which commutes with d. $T = d^*\Delta^{-1}$ has a homogeneous kernel of degree 1 - n, hence is bounded $L^p \rightarrow L^q$ provided $\frac{1}{p} - \frac{1}{q} = \frac{1}{n}$ (Calderon-Zygmund 1952). Finally 1 = dT + Td.

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Example. $X = \text{ball in } \mathbb{R}^n$. Then $L^{q,p}H^k(X) = 0$ if $1 and <math>\frac{1}{p} - \frac{1}{q} \le \frac{1}{n}$.

Proof (lwaniec-Lutoborsky 1993). Cartan's homotopy formula provides a homotopy T, 1 = dT + Td, which has a homogeneous kernel of degree 1 - n. It does not require forms to be globally defined. Hölder $\Rightarrow q$ can be lessened. Works for convex sets.

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Theorem (Leray, circa 1946)

Vanishing of $L^{q,p}H^{\cdot}$ of all simplices suffices to prove that $L^{q,p}H^{\cdot} = \ell^{q,p}H^{\cdot}$ for bounded geometry triangulated manifolds.

Thus Iwaniec-Lutoborsky $\implies \ell^{q,p}H^{\cdot} = L^{q,p}H^{\cdot}$ if $\frac{1}{p} - \frac{1}{q} \leq \frac{1}{n}$.

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Proposition (Rumin)

Proposition persists in the form $\ell^{q,p}H^{\cdot} = L^{q,p}_{\infty}H^{\cdot}$, for all $1 \leq p, q \leq \infty$, where $L^{p}_{\infty} = \{$ forms all of whose derivatives are in $L^{p}\}$.

"Loss on differentiability is allowed". Useful since no restriction on exponent q.

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Proposition (Pansu)

Proposition merely requires even weaker analytic information : it suffices that a closed form on ball of radius 2 have a primitive on unit ball with controlled norms.

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"Loss on domain is allowed". Useful to prove quasiisometry invariance.

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Need homogeneous Laplacian. Use homogeneous groups. Special case : Carnot groups G, $\mathfrak{g} = \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_s$, $\delta_t = t^i$ on \mathfrak{g}_i .

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Kohn's Laplacian. For a function u, let $d_R u = du_{|g_1|}$ and let $\Delta u = d_R^* d_R$. This homogeneous of degree 2 under Carnot dilations δ_t .

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In general, there is no differential homogeneous Laplacian on forms, since left-invariant forms split under δ_t into several weight spaces.

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Example. On the Heisenberg group, two weights on k-forms, k and k + 1, since $\Lambda^k \mathfrak{g}^* = \Lambda^k \mathfrak{g}_1^* \oplus \Lambda^{k-1} \mathfrak{g}_1^* \otimes \mathfrak{g}_2^*$.

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A pseudodifferential homogeneous Laplacian. Let $|\nabla| = \Delta^{1/2}$. Let $|\nabla|^N$ be the operator acting componentwise which is $|\nabla|^w$ on forms of weight w. Then $d^{\nabla} := |\nabla|^{-N} d |\nabla|^N$ is pseudodifferential of order 0, so is its Laplacian $\Delta^{\nabla} = (d^{\nabla})^* d^{\nabla} + d^{\nabla} (d^{\nabla})^*$. Both are homogeneous.

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 $\begin{array}{l} \Delta^{\nabla} \text{ admits a pseudodifferential inverse (Helffer-Nourrigat 1979,} \\ \textbf{Christ-Geller-Glowacki-Polin 1992}), \text{ hence } d^{\nabla} \text{ admits a homotopy} \\ K^{\nabla} := (d^{\nabla})^* (\Delta^{\nabla})^{-1}, \ 1 = d^{\nabla} K^{\nabla} + K^{\nabla} d^{\nabla}, \text{ hence a homogeneous homotopy} \\ K := |\nabla|^N K^{\nabla} |\nabla|^{-N} \text{ for } d. \end{array}$

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 K^{∇} is bounded on L^{p} (Folland 1975), thus K is bounded on

$$L^{N,p} := \{ \alpha \, ; \, |\nabla|^{-N} \alpha \in L^p \},$$

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and on $L^{N-m,p}$ for every constant m.

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On functions,

$$L^p_{\infty} = \bigcap_{m=0}^{\infty} L^{N-m,p}$$

On the space of forms whose weight lies between a and b, Ω_a^b ,

$$\Omega^b_a \cap \bigcap_{m=a}^{\infty} \mathcal{L}^{N-m,p} \subset \Omega^b_a \cap \mathcal{L}^p_{\infty} \subset \bigcap_{m=b}^{\infty} \mathcal{L}^{N-m,p}.$$

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 $|\nabla|^{-\mu}$ is bounded from L^p to L^q if $\frac{1}{p} - \frac{1}{q} = \frac{\mu}{Q}$, $Q = \sum i \dim(\mathfrak{g}_i)$ (Folland 1975). Whence the graded Poincaré inequality

$$L^{N-m,p} \subset L^{N-m+\mu,q}$$

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 $|\nabla|^{-\mu}$ is bounded from L^{ρ} to L^{q} if $\frac{1}{\rho} - \frac{1}{q} = \frac{\mu}{Q}$, $Q = \sum i \dim(\mathfrak{g}_{i})$ (Folland 1975). Whence the graded Poincaré inequality

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Assume that $K(\Omega^b_a)\subset \Omega^{b'}_{a'}.$ If $\mu=b-a',$ then

$$\mathcal{K}(\mathcal{L}^{p}_{\infty}\cap\Omega^{b}_{a})\subset\Omega^{b'}_{a'}\cap\bigcap_{m=b}^{\infty}\mathcal{L}^{N-m,p}\subset\Omega^{b'}_{a'}\cap\bigcap_{m=b}^{\infty}\mathcal{L}^{N-m+\mu,q}=\Omega^{b'}_{a'}\cap\bigcap_{m=a'}^{\infty}\mathcal{L}^{N-m,q}\subset\mathcal{L}^{q}_{\infty},$$

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Proposition

 $\ell^{q,p}H^k(G) = 0$ if $1 < p, q < \infty$, $\frac{1}{p} - \frac{1}{q} \ge \frac{b-a'}{Q}$, where b is the maximal weight in degree k, a' is the minimal weight in degree k - 1.

Example. For Heisenberg group, b = k + 1, a' = k - 1, b - a' = 2. Unsharp.

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Strategy. Replace d with a subcomplex that uses less weights : Rumin's complex (1994, 1999).

Forms on *G* split into several weights under dilations. Let d_0 be the weight 0 (algebraic) part of *d*. Pick complements of $\ker(d_0)$ and $\operatorname{im}(d_0)$ and define d_0^{-1} . Then powers of $1 - d_0^{-1}d - dd_0^{-1}$ stabilize to a projector Π_E onto a subcomplex *E*. $\Pi_0 = 1 - d_0^{-1}d_0 - d_0d_0^{-1}$ projects to a subspace E_R of forms where less weights occur. Set

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$$d_R = \Pi_0 \circ d \circ \Pi_E.$$

Then Rumin's complex (E_R, d_R) is homotopic to de Rham's complex.

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Example. For the 3-dimensional Heisenberg group,

- E_R^1 consists of horizontal 1-forms, $d_R: E_R^0 \to E_R^1$ is the horizontal gradient.
- E_R^2 consists of vertical 2-forms. Π_E extends a horizontal 1-form α in such a way that $d\Pi_E \alpha$ is vertical (unique choice). Hence $d_R \alpha = d\Pi_E \alpha$ involves second derivatives.

In general, for the 2m + 1-dimensional Heisenberg group, E_R has one weight in each degree, w = k if $k \le m$, w = k + 1 if $k \ge m + 1$, and $d_R : E_R^m \to E_R^{m+1}$ has order 2.

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Theorem (Pansu-Rumin)

Let G be a Carnot group of homogeneous dimension Q. Let [a, b] be the scope of weights in Rumin k-forms, let [a', b'] be the scope of weights in Rumin k – 1-forms.

• $\ell^{q,p}H^k(G) = 0$ provided $1 < p, q < \infty$ and

$$rac{1}{p}-rac{1}{q}\geq rac{b-a'}{Q}.$$

2 $\ell^{q,p}H^k(G) \neq 0$ if $1 \leq p, q \leq \infty$, $\frac{1}{p} - \frac{1}{q} < \frac{\max\{1, b' - a\}}{Q}$.

Example. For Heisenberg groups, b - a' = b' - a = 1, except in middle dimension where b - a' = b' - a = 2.

Example. For all Carnot groups, b - a' = b' - a = 1 in degrees 1 and $n = \dim(G)$.

Smoothing homotopy Result

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Theorem (Baldi-Franchi-Pansu)

Closed L^p forms defined on the Heisenberg 2-ball have d_R -primitives on the unit ball which are L^q , provided $1 < p, q < \infty$ and $\frac{1}{p} - \frac{1}{q} \leq \frac{1}{2m+2}$ (resp. $\frac{2}{2m+2}$ in degree m+1).

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Proof. No subRiemannian Cartan homotopy formula.

Instead, one uses Rumin's homotopy Π_E followed by Iwaniec-Lutoborsky's Euclidian homotopy. Since Π_E is differential, a preliminary smoothing homotopy is needed.

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Fix a subRiemannian metric in order to define adjoints. $\Delta_R := d_R^* d_R + d_R d_R^*$ is replaced with $\Delta_R := (d_R^* d_R)^2 + d_R d_R^*$ ou $d_R^* d_R + (d_R d_R^*)^2$ in degrees *m* and *m* + 1. Then Δ_R is maximally hypoelliptic, thus $T_R = d_R^* \Delta_R^{-1}$ has a smooth and homogeneous kernel.

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Let K_R be the kernel of T_R . Write $K_R = K^1 + K^2$ where K_1 has small support and K_2 is smooth. Then $T_R = T^1 + T^2$,

$$1 = d_R T^1 + T^1 d_R + S$$

where S is smoothing. T^1 and therefore S map forms defined on ball of radius 2 to forms defined on unit ball. T^1 maps L^p to L^q like T_R . S wins the derivatives that Π_E looses.

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Corollary (Baldi-Franchi-Pansu)

Rumin's complex can be used to compute $\ell^{q,p}$ -cohomology of contact 2m + 1-manifolds with bounded geometry, provided $1 < p, q < \infty$ and $\frac{1}{p} - \frac{1}{q} \leq \frac{1}{2m+2}$ (resp. $\frac{2}{2m+2}$ in degree m + 1) : $\ell^{q,p}H^{\cdot} = L^{q,p}H^{\cdot}(d_c)$. Also, the complex (E_R, d_R) admits a smoothing homotopy.

Question. Cases when p = 1 or $q = \infty$? Work in progress with Baldi and Franchi.